

# FOR STATE OF STATE OF

ಸಂಪಟ ೧೪೯ Volume 149 ಬೆಂಗಳೂರು, ಗುರುವಾರ, ನವೆಂಬರ್ ೧೩, ೨೦೧೪ (ಕಾರ್ತೀಕ ೨೨, ಶಕ ವರ್ಷ ೧೯೩೬) Bangalore, Thursday, November 13, 2014 (Karthika 22, Shaka Varsha 1936) ಸಂಚಿಕೆ ೪೫ Issue 45

# ಭಾಗ ೪

ಕೇಂದ್ರದ ವಿಧೇಯಕಗಳು ಮತ್ತು ಅವುಗಳ ಮೇಲೆ ಪರಿಶೀಲನಾ ಸಮಿತಿಯ ವರದಿಗಳು, ಕೇಂದ್ರದ ಅಧಿನಿಯಮಗಳು ಮತ್ತು ಅಧ್ಯಾದೇಶಗಳು, ಕೇಂದ್ರ ಸರ್ಕಾರದವರು ಹೊರಡಿಸಿದ ಸಾಮಾನ್ಯ ಶಾಸನಬದ್ಧ ನಿಯಮಗಳು ಮತ್ತು ಶಾಸನಬದ್ಧ ಆದೇಶಗಳು ಮತ್ತು ರಾಷ್ಟ್ರಪತಿಯವರಿಂದ ರಚಿತವಾಗಿ ರಾಜ್ಯ ಸರ್ಕಾರದವರಿಂದ ಪುನಃ ಪ್ರಕಟವಾದ ಆದೇಶಗಳು

ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಸಚಿವಾಲಯ

### ಅಧಿಸೂಚನೆ

ಸಂಖ್ಯೆ: ಸಂವ್ಯಇ 72 ಕೇನಿಪ್ರ 2014, ಬೆಂಗಳೂರು, ದಿನಾಂಕ: 25/26ನೇ ಆಗಸ್ಟ್, 2014.

2014ನೇ **ಸಾಲಿನ 07–04–2014**ನೇ ದಿನಾಂಕದ ಭಾರತ ಸರ್ಕಾರದ ಗೆಜೆಟ್ನ ವಿಶೇಷ ಸಂಚಿಕೆಯ ಭಾಗ–II ಸೆಕ್ಷನ್ 3(i) ರಲ್ಲಿ ಪ್ರಕಟವಾದ ಈ ಕೆಳಕಂಡ **G.S.R. 271 (E**) ( Foreign Exchange Mangement Crystallization of Inoperative Foreign Currency Deposits ) Regulations 2014 ದಿನಾಂಕ: 21–03–2014 ಅನ್ನು ಸಾರ್ವಜನಿಕರ ಮಾಹಿತಿಗಾಗಿ ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರದಲ್ಲಿ ಮರು ಪ್ರಕಟಿಸಲಾಗಿದೆ.

### **RESERVE BANK OF INDIA**

(Foreign Exchange Department)

(Central Office)

NOTIFICATION

Mumbai, the 21<sup>st</sup> March, 2014

No.FEMA, 10A/2014-RB

### Foreign Exchange Management (Crystallization of Inoperative Foreign Currency Deposits) Regulations, 2014

- **G.S.R. 271 (E).** In exercise of the powers conferred by Clause (f) of sub-section 63 of sub-section 6, sub-section (b) of Section 9 and sub-section (2) of Section 47 of the Foreign Exchange Management Act, 1999 (42 of 1999), the Reserve Bank makes the following regulations relating to inoperative foreign currency deposits, namely:-
- **1.Short Title and commencement.** (i) These regulations may be called the Foreign Exchange Management (Crystallization of Inoperative Foreign Currency Deposits) Regulations 2014.
  - (ii) They shall come into force from the date of their publication in the Official Gazette.
- 2. Definitions:- In these Regulations unless the context otherwise requires:-
  - (i) 'Act' means the Foreign Exchange Management Act, 1999 (42 of 1999);
  - (ii) 'Authorised Bank' means a bank including a co-operative bank (other than an authorised dealer) authorised by the Reserve Bank to maintain an account of a person resident outside India;
  - (iii) 'Authorised Dealer ' means a person authorised as an authorised dealer under sub-section (1) of Section 10 of the Act;
  - (iv) 'Crystallisation' means conversion of credit balances in any inoperative foreign currency denominated deposit to Indian Rupee.

(v) 'Deposit' means and includes account balances or deposit of money with an Authorised bank.

### 3. Crystallistion of inoperative foreign currency deposits:-

- (1) In case a foreign currency denominated deposit with a fixed maturity date remains inoperative for a period of three years from the date of maturity of the deposit, at the end of the third year, the authorised bank shall convert the balances lying in the foreign currency denominated deposit into Indian Rupee at the exchange rate prevailing as on that date. Thereafter, the depositor shall be entitled to claim either the said Indian Rupee proceeds and interest thereon, if any or the foreign currency equivalent (calculated at the rate prevalent as on the date of payment) of the Indian Rupee proceeds of the original deposit and interest, if any, on such Indian Rupee proceeds.
- (2) In case of foreign currency denominated deposit with no fixed maturity period, if the deposit remains inoperative for a period of three years (debit of bank charges not to be reckoned as operation), the authorised bank shall, after giving a three month notice to the depositor at his last known address as available with it, convert the deposit from the foreign currency in which it is denominated to Indian Rupee at the end of the notice period at the prevailing exchange rate. Thereafter, the depositor shall be entitled to claim either the said Indian Rupee proceeds and interest thereon, if any, or the foreign currency equivalent (calculated at the rate prevalent as on the date of payment) of the Indian Rupee proceeds of the original deposit and interest if any, on such Indian Rupee proceeds.

[F.No.-1/1/EM/2014]

RUDRA NARAYAN KAR, Chief General

Manager-in-Charge.

ಕರ್ನಾಟಕ ರಾಜ್ಯಪಾಲರ ಆದೇಶಾನುಸಾರ ಮತ್ತು ಅವರ ಹೆಸರಿನಲ್ಲಿ,

ಹೆಚ್.ರಾಜ್ಕುಮಾರ್

ಸಹಾಯಕ ಪ್ರಾರೂಪಕಾರ ಮತ್ತು ಪದನಿಮಿತ್ತ ಸರ್ಕಾರದ ಉಪ ಕಾರ್ಯದರ್ಶಿ (ಪ್ರ), ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಇಲಾಖೆ.

P.R.97 S.C. 20

ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಸಚಿವಾಲಯ

ಅಧಿಸೂಚನೆ

ಸಂಖ್ಯೆ: ಸಂವ್ಯಇ 73 ಕೇನಿಪ್ರ 2014, ಬೆಂಗಳೂರು, ದಿನಾಂಕ: 25/26ನೇ ಆಗಸ್ಟ್, 2014.

2014ನೇ **ಸಾಲಿನ 27–05–2014**ನೇ ದಿನಾಂಕದ ಭಾರತ ಸರ್ಕಾರದ ಗೆಜೆಟ್ನ ವಿಶೇಷ ಸಂಚಿಕೆಯ ಭಾಗ–II ಸೆಕ್ಷನ್ 3(i) ರಲ್ಲಿ ಪ್ರಕಟವಾದ ಈ ಕೆಳಕಂಡ **G.S.R. 372** (E) Foreign Exchange Management (Establishment in India of Branch or Office or Other Place of Business) (Amendment) Regulations, 2014 ದಿನಾಂಕ: 24–02–2014 ಅನ್ನು ಸಾರ್ವಜನಿಕರ ಮಾಹಿತಿಗಾಗಿ ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರದಲ್ಲಿ ಮರು ಪ್ರಕಟಿಸಲಾಗಿದೆ.

### **RESERVE BANK OF INDIA**

(Foreign Exchange Department)

(CENTRAL OFFICE)

### **NOTIFICATION**

Mumbai, the 24th February, 2014

Foreign Exchange Management (Establishment in India of Branch or Office or Other Place of Business) (Amendment) Regulations, 2014

**G.S.R. 372 (E)**:- In exercise of the powers conferred by sub-section (6) of Section 6 of the Foreign Exchange Management Act, 1999 (42 of 1999) the Reserve Bank makes the following amendments to the Foreign Exchange Management (Establishment in India of Branch or Office or Other Place of Business) Regulations, 2000, Notification No.FEMA 22/2000-RB dated 3<sup>rd</sup> May, 2000, namely;

### 1.Short Title and commencement

- (i) These Regulations may be called the Foreign Exchange Management (Establishment in India of Branch or Office or other Place of Business) (Amendment) Regulations, 2014.
  - (ii) They shall deemed to have come force from the date of their publication in the Official Gazette.

### 2. Addition of the Regulation:-

In the Foreign Exchange Management (Establishment in India of Branch or Office or Other Place of Business) Regulations, 2000 (Notification No. FEMA. 22/2000-RB dated May 3, 2000) hereinafter referred as the 'Principal Regulations', after Regulation 7, the following shall be added, namely:

"Transfer of assets of Liaison Office/Branch Office/Project Office to Joint Venture. Wholly Owned Subsidiary and any other entity in India.

8. A person resident outside India permitted under Regulation 5 to establish a Branch or Project or Liaison Office may, at the time of closure of said Branch or Project or Liaison Office, as the case may be, apply to the authorized dealer concerned for transfer of its assets to JV/WOS or any other entity in India."

[No.FEMA. 295/2014-RB]

C.D.SRINIVASAN, Chief General Manager.

**Foot Note:** The Principle Regulations were published in the Official Gazette vide G.S.R.No.408 (E) dated 8<sup>th</sup> May, 2000 in Part II, Section 3, sub-Section (i) and subsequently amended as under:-

G.S.R.No.698(E) dated 01-09-2003

G.S.R.No.847(E) dated 29-10-2003

G.S.R.No.336(E) dated 27-05-2005

G.S.R.No.200(E) dated 09-03-2012

G.S.R.No.767(E) dated 06-12-2013

ಕರ್ನಾಟಕ ರಾಜ್ಯಪಾಲರ ಆದೇಶಾನುಸಾರ ಮತ್ತು ಅವರ ಹೆಸರಿನಲ್ಲಿ,

ಹೆಚ್.ರಾಜ್ಕುಮಾರ್

ಸಹಾಯಕ ಪ್ರಾರೂಪಕಾರ ಮತ್ತು ಪದನಿಮಿತ್ತ

P.R.98

S.C. 20

ಸರ್ಕಾರದ ಉಪ ಕಾರ್ಯದರ್ಶಿ (ಪ್ರ), ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಇಲಾಖೆ.

### ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಸಚಿವಾಲಯ

### ಅಧಿಸೂಚನೆ

ಸಂಖ್ಯೆ: ಸಂವೃಣ 74 ಕೇನಿಪ್ರ 2014, ಬೆಂಗಳೂರು, ದಿನಾಂಕ: 26ನೇ ಆಗಸ್ಟ್, 2014.

**2014ನೇ ಸಾಲಿನ 27–05–2014**ನೇ ದಿನಾಂಕದ ಭಾರತ ಸರ್ಕಾರದ ಗೆಜೆಟ್ನ ವಿಶೇಷ ಸಂಚಿಕೆಯ ಭಾಗ–II ಸೆಕ್ಷನ್ 3(i) ರಲ್ಲಿ ಪ್ರಕಟವಾದ ಈ ಕೆಳಕಂಡ **G.S.R. 360** (E) ದಿನಾಂಕ: 06–05–2014 ಅನ್ನು ಸಾರ್ವಜನಿಕರ ಮಾಹಿತಿಗಾಗಿ ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರದಲ್ಲಿ ಮರು ಪ್ರಕಟಿಸಲಾಗಿದೆ.

### MINISTRY OF LABOUR AND EMPLOYMENT

### NOTIFICATION

### New Delhi, the 6th May, 2014

- **G.S.R. 360(E).** In exercise of the powers conferred by Section 5, read with sub-section (1) of section 7 of the Employees' Provident Funds and Miscellaneous Provisions Act, 1952 (19 of 1952), the Central Government hereby makes the following scheme, further to amend the Employees' Provident Fund Scheme, 1952, namely:-
  - 1. (1) This Scheme may be called Employees' Provident Funds (Amendment) Scheme, 2014.
    - (2) They shall come into force on the date of its publication in the Official Gazette.
- 2. In the Employees' Provident Funds Scheme, 1952, in sub-paragraph (6) of paragraph 60 of following proviso shall be inserted, namely:-

"Provided that if the settlement of claim in respect of inoperative account is delayed for more than thirty days from the date of receipt of the application for settlement of claim, interest shall be credited to the account in accordance with sub-paragraph (2) for delay period excluding the period of thirty days."

[F.No.S-35012/01/2010-SS-II]

ANIL KUMAR KHACHI, Jt.Secy.

Foot Note: The Employees' Provident Funds Scheme, 1952, was published in the Gazette of India

vide S.R.O. number 1509, dated 2<sup>nd</sup> September, 1952 and lastly amended vide G.S.R. number 60(E), dated the <sup>1sr</sup> Februaty, 2013.

ಕರ್ನಾಟಕ ರಾಜ್ಯಪಾಲರ ಆದೇಶಾನುಸಾರ ಮತ್ತು ಅವರ ಹೆಸರಿನಲ್ಲಿ,

### ಹೆಚ್.ರಾಜ್ಕುಮಾರ್

ಸಹಾಯಕ ಪ್ರಾರೂಪಕಾರ ಮತ್ತು ಪದನಿಮಿತ್ತ ಸರ್ಕಾರದ ಉಪ ಕಾರ್ಯದರ್ಶಿ (ಪ್ರ), ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಇಲಾಖೆ.

P.R.99

S.C. 20

# ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಸಚಿವಾಲಯ ಅಧಿಸೂಚನೆ

ಸಂಖ್ಯೆ: ಸಂವ್ಯಇ 90 ಕೇನಿಪ್ರ 2014, ಬೆಂಗಳೂರು, ದಿನಾಂಕ: 20ನೇ ಸೆಪ್ಟೆಂಬರ್, 2014.

**2014ನೇ ಸಾಲಿನ 11–06**–2014ನೇ ದಿನಾಂಕದ ಭಾರತ ಸರ್ಕಾರದ ಗೆಜೆಟ್ನ ವಿಶೇಷ ಸಂಚಿಕೆಯ ಭಾಗ–II ಸೆಕ್ಷನ್ 3(i) ರಲ್ಲಿ ಪ್ರಕಟವಾದ ಈ ಕೆಳಕಂಡ G.S.R. 394 (E) ದಿನಾಂಕ : 11–06–2014 ಅನ್ನು ಸಾರ್ವಜನಿಕರ ಮಾಹಿತಿಗಾಗಿ ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರದಲ್ಲಿ ಮರು ಪ್ರಕಟಿಸಲಾಗಿದೆ.

### MINISTRY OF CONSUMER AFFAIRS, FOOD AND PUBLIC DISTRIBUTION

(Department of Food and Public Distribution)

### **NOTIFICATION**

New Delhi, the 11th June, 2014

**G.S.R. 394 (E)**:- In pursuance of sub-rule (4) of rule 20B of the Sugar Development Fund Rules, 1983, the Central Government hereby notifies that the incentive towards marketing and promotion services for raw sugar production for the period beginning from 1<sup>st</sup> June, 2014 and ending on 31<sup>st</sup> July, 2014, shall be at the rate of rupees three thousand three hundred per metric tonne.

[.F.No20(90)/2013-SP-I]

T.JACOB, Jt.Secy.

Note: The earlier notification in this regard was issued vide notification number G.S.R.326(E), dated the 7<sup>th</sup> May, 2014.

ಕರ್ನಾಟಕ ರಾಜ್ಯಪಾಲರ ಆದೇಶಾನುಸಾರ ಮತ್ತು ಅವರ ಹೆಸರಿನಲ್ಲಿ,

ಹೆಚ್.ರಾಜ್ಕುಮಾರ್

ಸಹಾಯಕ ಪ್ರಾರೂಪಕಾರ ಮತ್ತು ಪದನಿಮಿತ್ತ

P.R.100

ಸರ್ಕಾರದ ಉಪ ಕಾರ್ಯದರ್ಶಿ (ಪ್ರ),

S.C. 20

ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಇಲಾಖೆ.

## ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಸಚಿವಾಲಯ

#### ಅದಿಸೂಚನೆ

ಸಂಖ್ಯೆ: ಸಂವ್ಯಇ 75 ಕೇನಿಪ್ರ 2014, ಬೆಂಗಳೂರು, ದಿನಾಂಕ: 09/12ನೇ ಸೆಪ್ಟೆಂಬರ್, 2014.

**2014ನೇ ಸಾಲಿನ 27–05**–2014ನೇ ದಿನಾಂಕದ ಭಾರತ ಸರ್ಕಾರದ ಗೆಜೆಟ್ನ ವಿಶೇಷ ಸಂಚಿಕೆಯ ಭಾಗ–II ಸೆಕ್ಷನ್ 3(i) ರಲ್ಲಿ ಪ್ರಕಟವಾದ ಈ ಕೆಳಕಂಡ G.S.R. 361 (E) ದಿನಾಂಕ: 04–04–2014 ಅನ್ನು ಸಾರ್ವಜನಿಕರ ಮಾಹಿತಿಗಾಗಿ ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರದಲ್ಲಿ ಮರು ಪ್ರಕಟಿಸಲಾಗಿದೆ.

### **RESERVE BANK OF INDIA**

### Foreign Exchange Department

### **CENTRAL OFFICE**

### NOTIFICATION

Mumbai, the 4<sup>th</sup> April, 2014

# Foreign Exchange Management (Transfer or Issue of Security by a Person Resident outside India) (Fourth Amendment) Regulations, 2014

**G.S.R. 361(E)**.- In exercise of the powers conferred by clause (b) of sub-section (3) of Section 6 and Section 47 of the Foreign Exchange Management Act, 1999 (42 of 1999), the Reserve Bank of India hereby makes the following amendments in the Foreign Exchange Management (Transfer or Issue of Security by a Person Resident outside India) Regulations, 2000 (Notification No. FEMA 20/2000-RB dated 3rd May 2000) namely:-

### 1.Short Title and commencement.-

- (i) These Regulations may be called the Foreign Exchange Management (Transfer or Issue of Security by a Person Resident Outside India) (Fourth Amendment) Regulations, 2014.
  - (ii) They shall come into force from February 4, 2014.\*

### 2. Amendment to Schedule 1

(i) In the Foreign Exchange Management (Transfer or Issue of Security by a Person Resident outside India) Regulations, 2000 (Notification No. FEMA 20/2000-RB dated 3rd May 2000), the entry No. 23 in Annex B of Schedule 1, shall be substituted by the following:-

23 Insurance					
23.1	(ii) Insur	ance Company ance Brokers d party Administrators reyors and Loss Assessors	26% (FDI+FPI/FII+NRI)	Automatic	
23.2 Other conditions					
	1.	FDI in the Insurance sector, as prescribed in the Insurance Act, 1938, is allowed under the automatic route.			
	2.	This will be subject to the condition that Companies bringing in FDI shall obtain necessary license from the Insurance Regulatory & Development Authority for undertaking insurance activities.			
3. The provisions of entry 18.2.4(i) (c) and (e) relating to 'Banking – Private Sector' shall be applicable bank promoted insurance companies.				all be applicable in respect of	
	4.	. Indian Insurance company is defined as a company:			
	<ul> <li>a.which is formed and registered under the Companies Act, 1956;</li> <li>b.in which the aggregate holdings of equity shares by a foreign company either by itself or thro subsidiary companies or its nominees, do not exceed 26% paid-up equity capital of such insurance company;</li> </ul>				
		c.whose sole purpose is to carry re-insurance business.	on life insurance business or gene	eral insurance business or	
	5.	As per IRDA (Insurance Brokers) Regulations 2002, "insurance broker" means a person for the time-being licensed by the Authority under Regulation 11, who for remuneration arranges insurance contracts with insurance companies and/or reinsurance companies on behalf of his clients.			
	6.	As per IRDA(TPA- Health Services) Regutime being, is licensed by the Authority, a may be specified in the agreement with an	nd is engaged, for a fee or remuneration,	by whatever name called as	
	7.	7. Surveyors and Loss Assessors, will be governed by the IRDA Insurance Surveyors and Loss Assessors (Licensing, Professional Requirements and Code of Conduct) Regulations, 2000.			

[No.301/2014-RB]

RUDRA NARAYAN KAR, Chief General Manager-in-Charge

### Foot Note:

- (i) \* It is clarified that no person will be adversely affected as a result of the retrospective effect being given to these Regulations.
- (ii) The Principal Regulations were published in the Official Gazette vide G.S.R. No.406 (E) dated May 8, 2000 in Part II, Section 3, sub-Section (i) and subsequently amended as under:-

G.S.R.No. 158(E) dated 02.03.2001	G.S.R.No. 896(E) dated 30.12.2008
G.S.R.No. 175(E) dated 13.03.2001	G.S.R.No. 851(E) dated 01.12.2009
G.S.R.No. 182(E) dated 14.03.2001	G.S.R.No. 341 (E) dated 21.04.2010
G.S.R.No. 4(E) dated 02.01.2002	G.S.R.No.821 (E) dated 10.11.2012
G.S.R.No. 574(E) dated 19.08.2002	G.S.R.No. 606(E) dated 03.08.2012
G.S.R.No. 223(E) dated 18.03.2003	G.S.R.No. 795(E) dated 30.10.2012
G.S.R.No. 225(E) dated 18.03.2003	G.S.R.No. 796(E) dated 30.10.2012
G.S.R.No. 558(E) dated 22.07.2003	G.S.R. No. 797(E) dated 30.10.2012
G.S.R.No. 835(E) dated 23.10.2003	G.S.R. No.945(E) dated 31.12.2012
G.S.R.No. 899(E) dated 22.11.2003	G.S.R. No.946(E) dated 31.12.2012
G.S.R.No. 12(E) dated 07.01.2004	G.S.R. No.38(E) dated 22.01.2013
G.S.R.No. 278(E) dated 23.04.2004	G.S.R.No.515(E) dated 30.07.2013
G.S.R.No. 454(E) dated 16.07.2004	G.S.R.No.532(E) dated 05.08.2013
G.S.R.No. 625(E) dated 21.09.2004	G.S.R. No.341(E) dated 28.05.2013
G.S.R.No. 799(E) dated 08.12.2004	G.S.R.No.344(E) dated 29.05.2013
G.S.R.No. 201(E) dated 01.04.2005	G.S.R. No.195(E) dated 01.04.2013
G.S.R.No. 202(E) dated 01.04.2005	G.S.R.No.393(E) dated 21.06.2013
G.S.R.No. 504(E) dated 25.07.2005	G.S.R.No.591(E) dated 04.09.2013
G.S.R.No. 505(E) dated 25.07.2005	G.S.R.No.596(E) dated 06.09.2013
G.S.R.No. 513(E) dated 29.07.2005	G.S.R.No.597(E) dated 06.09.2013
G.S.R.No. 738(E) dated 22.12.2005	G.S.R.No.681(E) dated 11.10.2013

G.S.R.No. 29(E) dated 19.01.2006	G.S.R.No.682(E) dated 11.10.2013
G.S.R.No. 413(E) dated 11.07.2006	G.S.R. No.818(E) dated 31.12.2013
G.S.R.No. 712(E) dated 14.11.2007	G.S.R.No.683(E) dated 11.10.2013
G.S.R.No. 713(E) dated 14.11.2007	G.S.R. No.805(E) dated 30.12.2013
G.S.R.No. 737(E) dated 29.11.2007	G.S.R.No.189(E) dated 19.3.2014
G.S.R.No. 575(E) dated 05.08.2008	

ಕರ್ನಾಟಕ ರಾಜ್ಯಪಾಲರ ಆದೇಶಾನುಸಾರ ಮತ್ತು ಅವರ ಹೆಸರಿನಲ್ಲಿ,

#### ಹೆಚ್.ರಾಜ್ಕುಮಾರ

ಸಹಾಯಕ ಪ್ರಾರೂಪಕಾರ ಮತ್ತು ಪದನಿಮಿತ್ತ ಸರ್ಕಾರದ ಉಪ ಕಾರ್ಯದರ್ಶಿ (ಪ್ರ),

P.R.101 S.C. 20

ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಇಲಾಖೆ.

## ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಸಚಿವಾಲಯ

### ಅಧಿಸೂಚನೆ

ಸಂಖ್ಯೆ: ಸಂವ್ಯಇ 76 ಕೇನಿಪ್ರ 2014, ಬೆಂಗಳೂರು, ದಿನಾಂಕ: 09/11ನೇ ಸೆಪ್ಟೆಂಬರ್, 2014.

2014ನೇ ಸಾಲಿನ 30-05-2014ನೇ ದಿನಾಂಕದ ಭಾರತ ಸರ್ಕಾರದ ಗೆಜೆಟ್ನ ವಿಶೇಷ ಸಂಚಿಕೆಯ ಭಾಗ-II ಸೆಕ್ಷನ್ 3(i) ರಲ್ಲಿ ಪ್ರಕಟವಾದ ಈ ಕೆಳಕಂಡ G.S.R. 371 (E) ದಿನಾಂಕ: 22-05-2014 ಅನ್ನು ಸಾರ್ವಜನಿಕರ ಮಾಹಿತಿಗಾಗಿ ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರದಲ್ಲಿ ಮರು ಪ್ರಕಟಿಸಲಾಗಿದೆ.

### **RESERVE BANK OF INDIA**

# Foreign Exchange Department (CENTRAL OFFICE)

### **NOTIFICATION**

Mumbai, the 22<sup>nd</sup> May, 2014

# Foreign Exchange Management (Transfer or Issue of Security by a Person Resident outside India) (Fifth Amendment) Regulations, 2014

**G.S.R. 371(E).**- In exercise of the powers conferred by clause (b) of sub-section (3) of Section 6 and Section 47 of the Foreign Exchange Management Act, 1999 (42 of 1999), the Reserve Bank of India hereby makes the following amendments in the Foreign Exchange Management (Transfer or issue of security by a person resident outside India) Regulations, 2000 (Notification No. FEMA.20/2000-RB dated May 3, 2000), namely:-

### 1.Short Title and Commencement.-

- (a) These Regulations may be called the Foreign Exchange Management (Transfer or issue of Security by a Person Resident outside India) (fifth Amendment) Regulations, 2014.
- (b) They shall come into force from the date of their publication in the Official Gazette.

### 2. Amendment to Schedule 5

In the Foreign Exchange Management (Transfer or issue of security by a person resident outside India) Regulations, 2000 (Notification No.FEMA.20/2000-RB dated May 3, 2000), in Schedule 5,

- (A) in paragraph 1, after the existing clause (k) and before the existing proviso, the following shall be inserted, namely:-
  - "(I) listed non-convertible/redeemable preference shares or debentures issued in terms of Regulation 7 (2) of these Regulations."
- (B) in paragraph 1A, in clause (v), after sub-clause (h), the following shall be inserted, namely:-
  - "(i) listed non-convertible/redeemable preference shares or debentures issued in terms of Regulation 7 (2) of these Regulations."
- (C) in paragraph 1B, in clause (iii), after sub-clause (j), the following shall be inserted, namely:-
  - "(k) listed non-convertible/redeemable preference shares or debentures issued in terms of Regulation 7 (2) of these Regulations."
  - (D) in paragraph 1C, in clause (1), after sub-clause (k), the following shall be inserted, namely:-

"(I) listed non-convertible/redeemable preference shares or debentures issued in terms of Regulation 7 (2) of these Regulations."

### (E) in paragraph 2,

- (a) in clause (1A), after sub-clause (iv), the following shall be added, namely:-
  - "(v) listed non-convertible/redeemable preference shares or debentures issued in terms of Regulation 7 (2) of these Regulations."
- (b) in clause (2) the following shall be added, namely:-
  - "A Non-resident Indian may, without limit, purchase on non-repatriation basis, listed non-convertible/redeemable preference shares or debentures issued in terms of Regulation 7 (2) of these Regulations."

[No.FEMA/304/2014-RB]

C.D.SRINIVASAN, Chief General Manager

#### Foot Note:-

The Principal Regulations were published in the Official Gazette vide G.S.R. No.406 (E) dated May 8, 2000 in Part II, Section 3, sub-Section (i) and subsequently amended as under:-

G.S.R.No. 158(E) dated 02.03.2001	G.S.R.No. 851(E) dated 01.12.2009
G.S.R.No. 175(E) dated 13.03.2001	G.S.R.No. 341 (E) dated 21.04.2010
G.S.R.No. 182(E) dated 14.03.2001	G.S.R.No.821 (E) dated 10.11.2012
G.S.R.No. 4(E) dated 02.01.2002	G.S.R.No. 606(E) dated 03.08.2012
G.S.R.No. 574(E) dated 19.08.2002	G.S.R.No. 795(E) dated 30.10.2012
G.S.R.No. 223(E) dated 18.03.2003	G.S.R.No. 796(E) dated 30.10.2012
G.S.R.No. 225(E) dated 18.03.2003	G.S.R. No. 797(E) dated 30.10.2012
G.S.R.No. 558(E) dated 22.07.2003	G.S.R. No.945(E) dated 31.12.2012
G.S.R.No. 835(E) dated 23.10.2003	G.S.R. No.946(E) dated 31.12.2012
G.S.R.No. 899(E) dated 22.11.2003	G.S.R. No.38(E) dated 22.01.2013
G.S.R.No. 12(E) dated 07.01.2004	G.S.R.No.515(E) dated 30.07.2013,
G.S.R.No. 278(E) dated 23.04.2004	G.S.R.No.532(E) dated 05.08.2013,
G.S.R.No. 454(E) dated 16.07.2004	G.S.R. No.341(E) dated 28.05.2013
G.S.R.No. 625(E) dated 21.09.2004	G.S.R.No.344(E) dated 29.05.2013
G.S.R.No. 799(E) dated 08.12.2004	G.S.R. No.195(E) dated 01.04.2013
G.S.R.No. 201(E) dated 01.04.2005	G.S.R.No.393(E) dated 21.06.2013,
G.S.R.No. 202(E) dated 01.04.2005	G.S.R.No.591(E) dated 04.09.2013
G.S.R.No. 504(E) dated 25.07.2005	G.S.R.No.596(E) dated 06.09.2013
G.S.R.No. 505(E) dated 25.07.2005	G.S.R.No.597(E) dated 06.09.2013
G.S.R.No. 513(E) dated 29.07.2005	G.S.R.No.681(E) dated 11.10.2013
G.S.R.No. 738(E) dated 22.12.2005	G.S.R.No.682(E) dated 11.10.2013
G.S.R.No. 29(E) dated 19.01.2006	G.S.R. No.818(E) dated 31.12.2013
G.S.R.No. 413(E) dated 11.07.2006	G.S.R. No.805(E) dated 30.12.2013
G.S.R.No. 712(E) dated 14.11.2007	G.S.R.No.683(E) dated 11.10.2013
G.S.R.No. 713(E) dated 14.11.2007	G.S.R.No.189(E) dated 19.03.2014
G.S.R.No. 737(E) dated 29.11.2007	G.S.R.No.190(E) dated 19.03.2014
G.S.R.No. 575(E) dated 05.08.2008	G.S.R.No.270(E) dated 07.04.2014
G.S.R.No. 896(E) dated 30.12.2008	

ಕರ್ನಾಟಕ ರಾಜ್ಯಪಾಲರ ಆದೇಶಾನುಸಾರ ಮತ್ತು ಅವರ ಹೆಸರಿನಲ್ಲಿ,

ಹೆಚ್.ರಾಜ್ಕುಮಾರ್

ಸಹಾಯಕ ಪ್ರಾರೂಪಕಾರ ಮತ್ತು ಪದನಿಮಿತ್ತ ಸರ್ಕಾರದ ಉಪ ಕಾರ್ಯದರ್ಶಿ (ಪ್ರ), ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಇಲಾಖೆ.

P.R.102

S.C. 20

# ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಸಚಿವಾಲಯ ಅಧಿಸೂಚನೆ

ಸಂಖ್ಯೆ: ಸಂವ್ಯಇ 77 ಕೇನಿಪ್ರ 2014, ಬೆಂಗಳೂರು, ದಿನಾಂಕ: 09/11ನೇ ಸೆಪ್ಟೆಂಬರ್, 2014.

**2014ನೇ ಸಾಲಿನ 27–05–2014**ನೇ ದಿನಾಂಕದ ಭಾರತ ಸರ್ಕಾರದ ಗೆಜೆಟ್ನ ವಿಶೇಷ ಸಂಚಿಕೆಯ ಭಾಗ–II ಸೆಕ್ಷನ್ 3(i) ರಲ್ಲಿ ಪ್ರಕಟವಾದ ಈ ಕೆಳಕಂಡ **G.S.R. 358**(E) ದಿನಾಂಕ: 26–05–2014 ಅನ್ನು ಸಾರ್ವಜನಿಕರ ಮಾಹಿತಿಗಾಗಿ ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರದಲ್ಲಿ ಮರು ಪ್ರಕಟಿಸಲಾಗಿದೆ.

### **MINISTRY OF FINANCE**

### **Department of Financial Services**

### NOTIFICATION

### New Delhi, the 26th May, 2014

- **G.S.R. 358 (E):** In exercise of the powers conferred by clauses (f), (g), and (j) of sub-section (2) of Section 51, read with sub-section (6) of Section 36, of the Pension Fund Regulatory and Development Authority Act, 2013 (23 of 2013), the Central Government hereby makes the following rules namely:-
- **1.Short Title and commencement:** (1) These rules may be called the Pension Fund Regulatory and Development Authority (Appeal to Securities Appellate Tribunal) Rules, 2014.
  - (b) They shall come into force from the date of their publication in the Official Gazette.
    - 2. Definitions: (1) In these rules, unless the context otherwise requires.
    - a) "Act' means the Pension Fund Regulatory and Development Authority Act, 2013 (23 of 2013);
    - b) "adjudicating officer" means an officer appointed under sub-section (1) of section 30 of the Act;
    - c) "appeal" means an appeal preferred under sub-section (1) of Section 36 of the Act;
    - d) "Appellate Tribunal" means the Securities Appellate Tribunal constituted under section 15 k of the Securities and Exchange Board of India Act, 1992 (15 of 1992);
    - e) "Form" means the Form appended to these rules;
    - f) Member means the Member of the Securities Appellate Tribunal appointed under section 15L of the Securities and Exchange Board of India Act, 1992 (15 of 1992);
    - g) Party means a person who prefers an appeal before the Appellate Tribunal and includes Respondent;
    - h) Presiding Officer means the Presiding Officer of the Securities Appellate Tribunal appointed under section 15L of the Securities and Exchange Board of India Act, 1992 (15 of 1992);
    - i) "Registrar" means the Registrar of an Appellate Tribunal and includes any officer of such Appellate Tribunal to whom the powers and functions of the Registrars may be assigned;
    - j) "registry" means the registry of the Appellate Tribunal;
    - k) Rules means the rules made under the Act.
- (2) Words and expressions used and not defined in these rules but defined in Pension Fund Regulatory and Development Act, 2013 (23 of 2013) shall have the meanings respectively assigned to them in that Act.
- 3. Limitation for filing an appeal: Every appeal shall be filed within a period of forty five days from the date on which a copy of the order against which the appeal is filed, is received by the appellant;

Provided that the Appellate Tribunal may entertain an appeal after the expiry of the said period of forty five days if it is satisfied that there was sufficient cause for not filing it within that period.

- 4. Form and procedure of appeal: (1) A memorandum of appeal shall be presented in the Form by any aggrieved person in the registry of the Appellate Tribunal within whose jurisdiction his case falls or shall be sent by registered post addressed to the Registrar.
- (2) A memorandum of appeal sent by post shall be deemed to have been presented in the registry on the day it was received in the registry.
- 5. Sittings of Appellate Tribunal: (1) The Appellate Tribunal shall hold its sitting either at a place where its office is situated or at such other place falling within its jurisdiction, as it may deem fit.
- (2) In the temporary absence of the Presiding Officer, the Central Government may authorise one of the two other Members to preside over the sitting of the Tribunal either at a place where its office is situated or at such other place falling within its jurisdiction, as it may deem fit.
  - 6. Language of Appellate Tribunal: (1) The proceedings of the Appellate Tribunal shall be conducted in English or Hindi.
- (2) No appeal, application, representation, document or other matters contained in any language other than English or Hindi shall be accepted by Appellate Tribunal, unless the same is accompanied by a true copy of translation thereof in English or Hindi
- 7. Appeal to be in writing (1) Every appeal, application reply, representation or any document filed before the Appellate Tribunal shall be typewritten, cyclostyled or printed neatly and legibly on one side of good quality paper of foolscap size in double space and

separate sheets shall be stitched together and every page shall be consecutively numbered and filed in the manner provided in sub-rule (2).

- (2) The appeal under sub-rule (1) shall be presented in five sets in a paper book along with and empty file size envelope bearing full address of the respondent and in case the respondents are more than one, then sufficient number of extra paper books together with empty file size envelope bearing full addresses of each respondent shall be furnished by the appellant.
- 8. Presentation and scrutiny of memorandum of appeal (1) The Registrar shall endorse on every appeal the date on which it is presented under rule 4 or deemed to have been presented under that rule and shall sign endorsement.
  - (2) If, on scrutiny, the appeal is found to be in order, it shall be duly registered and given a serial number.
- (3) If an appeal on scrutiny is found to be defective and the defect noticed is formal in nature, the Registrar may allow the appellant to rectify the same in his presence and if the said defect is not formal in nature, the Registrar may allow the appellant such time to rectify the defect as he may deem fit.
- (4) If the appeal has been sent by post and found to be defective, the Registrar may communicate the defects to the applicant and allow the appellant such time to rectify the defect as he may deem fit.
- (5) If the appellant fails to rectify the defect within the time allowed in sub-rule (3) or sub-rule (4), the Registrar may by order and for reasons to be recorded in writing, decline to register such memorandum of appeal and communicate the order to the appellant within seven days thereof.
- (6) An appeal against the order of the Registrar under sub-rule (5) shall be made within fifteen days of receiving of such order to the Presiding Officer, or in his temporary absence, to the Member authorised under sub-rule (2) of rule 5, whose decision thereon shall be final.
- 9. Fee: (1) Every memorandum of appeal shall be accompanied with a fee provided in sub-rule (2) or sub-rule (3) and such fee may be remitted in the form of crossed demand draft drawn on any nationalised bank in favour of "the Registrar, Securities Appellate Tribunal" payable at the station where the registry is located.
  - (2) The amount of fee payable in respect of appeal against adjudication orders made under the Act shall be as follows;

### **TABLE**

Serial No. No	Amount of Penalty Imposed	Amount of fees payable
(1)	(2)	(3)
1.	Less than rupees ten thousand	Rs. 500
2.	Rupees ten thousand or more but less than one lakh	Rs. 1200
3.	Rupees one lakh or more	Rs. 1200 plus Rs.500 for every additional one lakh of penalty or fraction thereof subject to a maximum of Rs. 1,50,000.

- (3) The amount of fee payable in respect of any other appeal against an order of the Authority under the Act shall be rupees five thousand only.
- 10. Contents of memorandum of appeal: (1) Every memorandum of appeal filed under rule 4 shall set forth concisely under distinct heads, the grounds of such appeal without any argument or narrative, and such ground shall be numbered consecutively and shall be in the manner provided in sub-rule (1) of rule 7.
- (2) It shall not be necessary to present separate memorandum of appeal to seek interim order or direction if in the memorandum of appeal, the is prayed for.
- 11. Documents to accompany memorandum of appeal (1) Every memorandum of appeal shall be in five copies and shall be accompanied with copies of the order, at least one of which shall be certified copy, against which the appeal is filed.
- (2) Where a party is represented by and authorised representative, a copy of the authorisation to act as the authorised representative and the written consent thereto by such authorised representative shall be appended to the appeal.
- 12. Plural remedies:- A memorandum of appeal shall not seek relief or reliefs therein against more than one order unless the reliefs prayed for are consequential.
- 13. Notice of appeal to the respondent:- A Copy of the memorandum of appeal and paper book shall be served by the Registrar on the respondent as soon as they are registered in the registry, by hand delivery, or by registered post or speed post.
- 14. Filing of reply to the appeal and other documents by the respondent:- The respondent may file five complete sets containing the reply to the appeal along with documents in a paper book form with the registry within one month of the service of the notice on him of the filing of the memorandum of appeal.

- (2) Every reply, application or written representation filed before the Appellate Tribunal shall be verified in the manner provided for, in the Form
- (3) A copy of every application, reply, document or written material filed by the respondent before the Appellate Tribunal shall be forthwith served on the appellant, by the respondent.
- (4) The Appellate Tribunal may, in its discretion, on application by the respondent allow the filing of reply referred to in sub-rule (1) after the expiry of the period referred to therein.
- 15. Date of hearing to be notifed:- The Appellate Tribunal shall notify the parties the date of hearing of the appeal in such manner as the Presiding Officer may by general or special order direct.
- 16. Hearing of appeal:- (1) On the day fixed or on any other day to which the hearing may be adjourned, the appellant shall be heard in support of the appeal and the Securities Appellate Tribunal shall, then, if necessary, hear the Authority or its authorised representative against the appeal, and in such case the appellant shall be entitled to reply. During the course of the hearing of appeal the written arguments could be supplemented by time-bound oral arguments.

Provided that in case of temporary absence of the Presiding Officer or of the Member authorised by the Central Government under sub-rule (2) of rule 5, the Presiding Officer can authorise the other Member present on that day to hear the Authority or authorised representative against the appeal.

(2) In case the appellant does not appear in person or through an authorised representative when the appeal is called for hearing, the Securities Appellate Tribunal may dispose of the appeal on merits.

Provided that where an appeal has been disposed of as provided above and the appellant appears afterwards and satisfies the Securities Appellate Tribunal that there was sufficient cause for his non-appearance when the appeal was called for hearing, the Securities Appellate Tribunal shall make an order setting aside the ex-parte order and restore the appeal.

- 17. Dress regulations for the Presiding Officer, Members and for the representatives of the parties:- (1) The dress of the Presiding Officer shall be white or striped or black pant with black coat over white shirt and band or buttoned up black coat and band. The dress for the two other Members shall be white or striped or black pant with black coat over white shirt and black tie or buttoned up black coat. In the case of female Presiding Officer or a Member the dress shall be black coat over white saree.
- (2) Every authorised representative, other than a relative or regular employee of the party shall appear before the Appellate Tribunal in his professionall dress if any, and if there is no such dress, a male, in a suit or buttoned-up coat over a pant or national dress that is a long buttoned-up coat on dhoti or churridar pyjama, and a female, in a coat over white or any other sober coloured saree in any other sober dress.
  - $\hbox{(3) All other persons appearing before the Appellate Tribunal shall be properly dressed.}\\$
- 18. Order to be signed and dated:- (1) Every order of the Appellate Tribunal shall be signed and dated by the Presiding Officer and the two other Members.
- (2) The Presiding Officer shall have powers to pass interim orders or injunctions, subject to reasons to be recorded in writing, which it considers necessary in the interest of justice.
- (3) Orders shall be pronounced in the sitting of the Appellate Tribunal by the Presiding Officer or in case of the temporary absence of the Presiding Officer, by the Member authorised under sub-rule (2) of rule 5.
- 19. Publication of orders:- The orders of the Appellate Tribunal, as are deemed fit for publication in any authoritative report or the press may be released for such publication on such terms and conditions as the Presiding Officer may lay down.
- 20. Communication of orders:- A certified copy of every order passed by the Appellate Tribunal shall be communicated to the Authority, the adjudicating officer and to the parties, as the case may be
- 21. Orders and directions in certain cases:- The Appellate Tribunal may make, such orders or give such directions as may be necessary or expedient to give effect to its orders or to prevent abuse of its process or to secure the ends of justice.
- 22. Fee for inspection of records and obtaining copies thereof:- (1) A fee of rupees twenty, for every hour or part thereof of inspection subject to a minimum of rupees one hundred shall be charged for inspecting the records of a pending appeal by a party thereto.
- (2) A fee of rupees five for a folio or part thereof not involving typing and a fee of rupees ten for a folio or part thereof involving typing of statement and figures shall be charged for providing copies of the records of an appeal, to a party thereto.
- 23. Working hours of the Appellate Tribunal:- (1) The office of the Appellate Tribunal shall observe such public and other holidays as observed by the offices of the Central Government in the locality where the office of the Appellate Tribunal is situated.
- (2) The Appellate Tribunal shall, subject to any other order made by the Presiding Officer, remain open on the working days from 10 AM to 6.00 PM, but no work, unless of an urgent nature, shall be admitted after 4.30 PM on any working day.
- (3) The sitting hours of the Appellate Tribunal shall ordinarily be from 10.30 AM to 1.00 PM and 2.00PM to 5.00PM, subject to any order made by the Presiding Officer.

- 24. Holiday: Where the last day for doing any act falls on a day on which the office of the Appellate Tribunal is closed and by reason thereof the act cannot be done on that day, it may be cone on the next day on which that office opens.
- 25. Functions of the Registrar:- (1) The Registrar shall discharge his functions under the general superintendence of the Presiding Officer or in the temporary absence of the Presiding Officer, the Member authorised under sub-rule (2) of rule 5 and he shall also discharge such other functions as are assigned to him under these rules by the Presiding Officer or in the temporary absence of the Presiding Officer, by the Member authorised under sub-rule (2) of rule5, by a separate order in writing.
  - (2) The Registrar shall have the custody of the records of the Appellate Tribunal.
  - (3) The official seal of the Appellate Tribunal shall be kept in the custody of the Registrar.
- (4) Subject to any general or special direction by the Presiding Officer or in the temporary absence of the Presiding Officer, by the Member authorised under sub-rule (2) of rule 5, the official seal of the Appellate Tribunal shall not be affixed to any order, summons or other process, save under the authority in writing from the Registrar.
- (5) The official seal of the Appellate Tribunal shall not be affixed to any certified copy issued by the Appellate Tribunal, save under the authority in writing of the Registrar.
- 26. Additional function and duties of Registrar:- (1) In addition to the functions and duties assigned in the rules, the Registrar shall have the following functions and duties subject to any general or special orders of the Presiding Officer or in the temporary absence of the Presiding Officer, by the Member authorised under sub-rule (2) of rule 5, namely:-
  - (a) to receive all appeals, replies and other documents;
  - (b) to decide all questions arising out of the scrutiny of the appeals before they are registered;
  - (c) to require any appeal presented to the Appellate Tribunal to be amended in accordance with the rules;
  - (d) subject to the directions of the Presiding Officer, or in his temporary absence, the Member authorised under subrule (2) of rule 5, to fix date of hearing of the appeals or other proceedings and issue notices thereon;
  - (e) to direct any formal amendment of records;
  - (f) to order grant of copies of documents to parties to proceedings;
  - (g) to grant leave to inspect the record of the Appellate Tribunal;
  - (h) to dispose of all matters relating to the service of n otices or other processes, application for the issue of fresh notice or for extending the time for or ordering a particular method of service on a respondent including a substituted service by publication of the notice by way of advertisement in the newspapers; and
  - (i) to requisition records from the custody of any court or other authority.
  - 27. Seal and emblem:- The official seal and emblem of the Appellate Tribunal shall be such as the Central Government may specify.

[F.No.11/4/ 2014-PR]

ANUPWADHAWAN, Jt.Secy.

FORM (See rule 4)
Memorandum of appeal

For use in Appellate Tribunal's Office

Date of presentation in the registry

Date of receipt by post

Registration number

Signature Registrar

Before the Securities Appellate Tribunal in the matter of the Pension Fund Regulatory and Development Authority Act, 2013(23 of 2013)

In the matter of appeal against the order made on ......by......by......

Appellant

C.D. and other - Respondent(s):

Details of appeal:

- 1.Particulars of the appellant
  - (i)Name of the appellant
  - (ii)Address of registered office of the appellant
  - (iii)Address of service of all notices
  - (iv)Telephone or Fax Number and e-mail address, if any

# ೮೦೮ ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರ, ಗುರುವಾರ, ನವೆಂಬರ್ ೧೩, ೨೦೧೪

2. Particulars of the respondent(s):

- (i) Name of the respondents(s)
- (ii) Office address of the respondent(s)
- (iii) Address of respondents(s) for service of all notices.
- (iv) Telephone or Fax Number and e-mail address, if any.
- 3. Jurisdiction of the Appellate Tribunal: The appellant declares that the matter of appeal falls within the jurisdiction of the Appellate Tribunal.
- 4. Limitation :- The appellant further declares that the appeal is within the imitation period.
- 5. Facts of the case and the details of the order against which appeal is filed;

The facts of the case are given below:

(Give here a concise statement of facts and grounds of appeal against the specified order in a chronological order, each paragraph containing as neatly as possible as separate issue, fact or otherwise).

- 6. Relief(s) sought: In view of the facts mentioned in paragraph 5 above, the appellant prays for the following relief(s) [Specify below the relief(s) sought explained the grounds for relief(s) and the legal provisions, if any, relied upon].
- 7. Interim order, if prayed for: Pending final decision of the appeal the appellant seeks issue of the following interim order: (Give here the nature of the interim order prayed for with reasons).
- 8. Matter not pending with any other court etc: The appellant further declares that the matter regarding which this appeal has been made is not pending before any court of law or any other authority or any other Tribunal.

Verification

- 9. Particulars in respect of the fee paid in terms of rule 9 of these rules:
  - (1) Amount of fees.
  - (2) Name of the Bank on which Demand Draft is drawn.
  - (3) Demand draft number.
- 10. 10Details of Index: An index containing the details of the documents to be relied upon is enclosed.
- 11. List of enclosures:

(Signature of the appellant/Authorised Representative)

Ison/daughter/wife of	
(Name in block letters)	
Shribeing the appellant/Authorised Representative of	do hereby verify
(Name of the appellant)	
That the contents of paras 1 to 11 are true to my personal knowledge and belief and	''
Place:	ignature of the appellant/Authorised Representative
Date:	
То	
The Registrar	

ಕರ್ನಾಟಕ ರಾಜ್ಯಪಾಲರ ಆದೇಶಾನುಸಾರ ಮತ್ತು ಅವರ ಹೆಸರಿನಲ್ಲಿ,

ಹೆಚ್.ರಾಜ್ಕುಮಾರ್

ಸಹಾಯಕ ಪ್ರಾರೂಪಕಾರ ಮತ್ತು ಪದನಿಮಿತ್ತ ಸರ್ಕಾರದ ಉಪ ಕಾರ್ಯದರ್ಶಿ (ಪ್ರ), ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಇಲಾಖೆ.

P.R.103 S.C. 20 ಭಾಗ ೪